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# Upsurge Investment & Finance Ltd.

07th June, 2021

To,  
**BSE Ltd.,**  
**Listing Department,**  
P.J. Towers, 1<sup>st</sup> Floor,  
Dalal Street, Fort,  
Mumbai - 400 001

**Scrip Code: 531390**

**Sub:** Intimation pursuant to Regulation 23(9) of securities and Exchange Board of India (Listing obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir,

Pursuant to provision of Regulation 23(9) of SEBI (Listing obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith disclosures of Related Party Transactions on a standalone basis in the format specified in the relevant accounting standard for the Half year ended March 31,2021.

Kindly take the same on record.

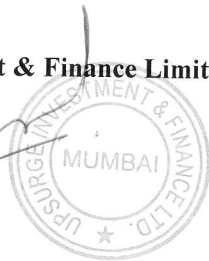
Thanking you.

Yours faithfully,

**For Upsurge Investment & Finance Limited**

Dayakrishna Goyal  
Managing Director

Encl.: as above



# Upsurge Investment & Finance Ltd.

**UPSURGE INVESTMENT & FINANCE LIMITED**  
**DISCLOSURE OF RELATED PARTY TRANSACTION FOR THE HALF YEAR ENDED**  
**MARCH 31 2021**

In Pursuance Of Regulation 23(9) Of SEBI ( Listing Obligation And Disclosure Requirements)  
Regulations 2015

**A. List of Related Parties**

(As Per Ind As 24 "Related Party Disclosures", The Companies Related Parties For The Half Year Ended March 31, 2021)

S. No.	Name of Related Parties	Description of Personnel
1.	Mr. Dayakrishna Goyal, Managing Director	Key Managerial Personnel
	Mrs. Pratibha Goyal, Non -executive , Non-Independent Director	Key Managerial Personnel
	Mr. Hansraj Goyal, Non-executive Independent Director	Key Managerial Personnel
	Mr. Dinesh Vijayvargia, Non -executive Independent Director	Key Managerial Personnel
	Mr. Mayank Goyal, Chief Financial Officer	Key Managerial Personnel
2.	M/s Sankalp Properties Private Limited	Enterprise in which KMP have substantial interest
	M/s Yash Securities Private Limited	Enterprise in which KMP have substantial interest
	M/s Upsurge Shares & Securities Private Limited	Enterprise in which KMP have substantial interest
	M/s Gagan Deep Multitrade Private Limited	Enterprise in which KMP have substantial interest

**B. Transactions During the Period**

(Amount in Rs)

Name of Related Party	Nature of Transaction	Half Year Ended 31.03.2021
Mr. Dayakrishna Goyal	Managerial Remuneration	6,00,000
Mr. Mayank Goyal	Remuneration	4,80,000
M/s Sankalp Properties Private Limited (Enterprise in which KMPs have substantial interest)	Rent paid	2,10,000

For Upsurge Investment & Finance Limited

Dayakrishna Goyal  
Managing Director

