



Upsurge Investment & Finance Ltd.

10th November, 2021

To,
BSE Ltd.,
Listing Department,
P.J. Towers, 1st Floor,
Dalal Street, Fort,
Mumbai - 400 001

Scrip Code: 531390

Sub: Intimation pursuant to Regulation 23(9) of Securities and Exchange Board of India (Listing obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir,

Pursuant to provision of Regulation 23(9) of SEBI (Listing obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith disclosures of Related Party Transactions on a standalone basis in the format specified in the relevant accounting standard for the Half year ended September 30,2021.

Kindly take the same on record.

Thanking you.

Yours faithfully,

For Upsurge Investment & Finance Limited


Diksha Joshi
Company Secretary & Compliance Officer



Encl.: as above



Upsurge Investment & Finance Ltd.

DISCLOSURE OF RELATED PARTY TRANSACTION FOR THE HALF YEAR ENDED SEPTEMBER 30, 2021

In Pursuance of Regulation 23(9) Of SEBI (Listing Obligation And Disclosure Requirements)
Regulations 2015

A. List of Related Parties

(As Per Ind AS-24 "Related Party Disclosures", The Companies Related Parties For The Half Year Ended September 30, 2021)

S. No.	Name of Related Parties	Description of Personnel
1.	Mr. Dayakrishna Goyal, Managing Director	Key Managerial Personnel
	Mrs. Pratibha Goyal, Non -executive, Non-Independent Director	Key Managerial Personnel
	Mr. Hansraj Goyal, Non-executive Independent Director	Key Managerial Personnel
	Mr. Dinesh Vijayvargia, Non -executive Independent Director	Key Managerial Personnel
	Mr. Mayank Goyal, Chief Financial Officer	Key Managerial Personnel
	Ms. Diksha Joshi, Company Secretary & Compliance Officer	Key Managerial Personnel
2.	M/s Sankalp Properties Private Limited	Enterprise in which KMP have substantial interest
	M/s Yash Securities Private Limited	Enterprise in which KMP have substantial interest
	M/s Upsurge Shares & Securities Private Limited	Enterprise in which KMP have substantial interest
	M/s Gagan Deep Multitrade Private Limited	Enterprise in which KMP have substantial interest



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B. Transactions During the Period

Name of Related Party	Nature of Transaction	(Amount in Rs)
		Half Year Ended 30.09.2021
Mr. Dayakrishna Goyal	Managerial Remuneration	6,00,000
Mr. Mayank Goyal	Remuneration	6,00,000
Ms. Diksha Joshi	Remuneration	65,161
M/s Sankalp Properties Private Limited (Enterprise in which KMP's have substantial interest)	Rent paid	2,10,000

FOR UPSURGE INVESTMENT & FINANCE LIMITED



Diksha Joshi
Company Secretary & Compliance Officer