HOLDING OF SPECIFIED SECURITIES ANNEXURE - 1

1. Name of Listing Entity : UPSURGE INVESTMENT AND FINANCE LIMITED

2. 531390/UPSURGE/EQUITY

3. Share Holding Pattern Filed under: Reg. 31(1)(b) SHAREHOLDING AS ON : 31/03/2022

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository reseipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

Table I - Summary Statement holding of specified securities

Categ	Category of shareholder (II)	Nos. of share	No. of fully	No. of Partly	No. of	Total Nos.	Shareholdin	No of voting Rights held in each class of			No of	Total	Number of Locked in sh		ked in shares Number of sha		Number of	
ory (I		holders (III)	paid up	paid -up	shares	shares held	g as a % of	securities (IX)			shares	Shareholding	(XII)		pledged or otherwise		equity	
			equity	equity shares	underl	(VII =	total no.of					underlying	as a %			encumbe	ered (XIII)	shares held
			shares held	held (V)	ying	IV+V+VI)	shares	No of voting Rights Total			Total	outstanding	assuming full					in
			(IV)		Deposi		(Calculated	Class X	Class	Total	as a %	convertible	Conversion	No.(a)	As a % of	No.(a)	As a % of	demateriali
					tory		as per SCRR,		Y		of	Securities	of		Total		Total	zed Form
					Receipt		1957) (VIII)				(A+B+C)	(including	convertible		shares held		shares held	(XIV)
					s (VI)		As a % of					warrants)	securities(as		(b)		(b)	
							(A+B+C2)					(X)	a % of					
(A)	Promoter and Promoter Group	8	7554823	0	0	7554823	49.86	7554823	0	7554823	49.86	0	49.86	0	0.00	0	0.00	7554823
(B)	Public	3400	7597577	0	0	7597577	50.14	7597577	0	7597577	50.14	0	50.14	0	0.00	N/A	N/A	7227676
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N/A	N/A	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N/A	N/A	0
	Total	3408	15152400	0	0	15152400	100.00	15152400	0	15152400	100.00	0	100.00	0	0.00	0	0.00	14782499

Table II - Statement showing Shareholding Pattern of the Promoter and Promoter Group

					No. of Partly			Shareholdin					No of shares	Total Shareholding as a % assuming	Number of Locked in		Number of shares pledged or otherwise		Number of
					paid-			g %		, ľ	<u> </u>	Total	underlying	full Conversion					equity
					up	No. of		calculate as per SCRR	Class X	Class	Total	as a %	outstanding	of convertible	No.(a)	As a % of	No.(a)	As a % of	shares held
				No. of fully	equity	shares	Total Nos.	1957 As a %		Y		of	convertible Securities	securities(as a % of diluted share		Total shares held		Total shares held	in .
	Category & Name of the		Nos. of share	paid up equity shares held	shares held	underlying Depository	shares held (VII =	1957 As a % of (A+B+C2)				Total voting	Securities (including	capital) (XI)					dematerializ ed Form
	Shareholder (I)	PAN (II)	holders (III)	(IV)	(V)	Receipts (VI)	(VII – IV+V+VI)	(VIII)				rights		=(VII)+(X) as a %		(b)		(b)	(XIV)
1	Indian	PAN (II)	noiders (iii)	(17)	(v)	Receipts (VI)	10+0+01)	(VIII)				rights	warrants) (A)	-(VII)+(A) dS d %					(///)
(2)	Individuals/HUFs		5	4855143	0	0	4855143	32.04	4855143	0	4855143	32.04	0	32.04	0	0.00	0	0.00	4855143
(a)	PRATIBHA DAYAKRISHNA GOYAL	AHAPG4289L		1587543	0	0	1587543	10.48		0	1587543	10.48	•		0	0.00	0	0.00	
	NAVRATI GUPTA	AFIPG4775K		659500	0	0	659500	4.35		0	659500	4.35	0		0		0	0.00	
	BAL KISHAN GOYAL	ABIPG1339B		58100	0	0	58100	4.33		0	58100	0.38	,		0	0.00	0	0.00	
	D K GOYAL (HUF) .	AACHD0154N		1300000	0	0	1300000	8.58		0	1300000	8.58	-		0		0	0.00	
	DAYA KRISHNA GOYAL	AACPG8766B		1250000	0	0	1250000	8.25		0	1250000	8.25	0		0	0.00	0	0.00	
(b)	Central Govt./State Govt.		0	0	0	0	0	0.00		0	0	0.00	0		0		0	0.00	
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00		0	0	0.00	0		0		0	0.00	
(d)	Any Other(specify)		Ű	Ŭ	Ű			0.00		Ű		0.00		0.00		0.00		0.00	
(d-1)	Corporate Bodies		3	2699680	0	0	2699680	17.82	2699680	0	2699680	17.82	0	17.82	0	0.00	0	0.00	2699680
(·)	UPSURGE SHARES AND SECURITIES					-		_						_	-				
	PRIVATE LI	AABCU2002J		145000	0	0	145000	0.96	145000	0	145000	0.96	0	0.96	0	0.00	0	0.00	145000
	YASH SECURITIES PVT. LTD.	AAACY3116E		400000	0	0	400000	2.64	400000	0	400000	2.64	0	2.64	0	0.00	0	0.00	400000
	GAGAN DEEP MULTITRADE PRIVATE																		
	LIMITED	AADCG3604J		2154680	0	0	2154680	14.22	2154680	0	2154680	14.22	0	14.22	0	0.00	0	0.00	2154680
	Sub Total (A)(1)		8	7554823	0	0	7554823	49.86	7554823	0	7554823	49.86	0	49.86	0	0.00	0	0.00	7554823
2	Foreign																		
(a)	Individuals(NRI/Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0		0	0.00	0	0.00	
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0		0	0.00	0	0.00	
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0		0	0.00	0	0.00	-
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	. 0
(e)	Any Other(specify)																		
	Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
						1	1												
	Total Shareholding of Promoter and					1	1												
	Promoter Group (A)=(A)(1)+(A)(2)		8	7554823	0	0	7554823	49.86	7554823	0	7554823	49.86	0	49.86	0	0.00	0	0.00	7554823

Table III - Statement showing Shareholding Pattern of the Public Shareholder

					No. of Partly			Shareholdin		securi	held in each c ties (IX)	lass of	No of shares	Total Shareholding as a % assuming full	Number of Locked in				rwise Number of
					paid-			g %	No of	voting	Rights	Total	underlying	Conversion of	share	es (XII)	encumb	ered (XIII)	equity
	Category & Name of the shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total Nos. shares held (VII = IV+V+VI)	calculate as per SCRR 1957 As a % of (A+B+C2) (VIII)	Class X	Class Y	Total	as a % of Total voting rights	outstanding convertible Securities (including warrants) (X)	convertible securities(as a % of diluted share capital) (XI) =(VII)+(X) as a %	No.(a)	As a % of Total shares held (b)	No.(a)	As a % of Total shares held (b)	shares held in dematerializ ed Form (XIV)
1	Institutions																		
(a)	Mutual Funds		1	900	0	0		0.01	900	0	900		C	0.01	0	0.00	0	0.00	0
(b)	Venture Capital Funds		0	-	0	0	-	0.00	0	0	0	0.00	C	0.00	0	0.00	0		0
(c)	Alternate Investments Funds		0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	0	0.00	0	0.00	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	0	0.00	0	0.00	0
(e)	Foreign Portfolio Investors		0		0	0		0.00	0	0	0	0.00	C	0.00	0		0		0
(f)	Financial Institutions/Banks		0	0	0				0		0		C	0.00	0		0		0
(g)	Insurance Companies		0	0	0	0	0		0	0	0	0.00	C	0.00	0		0		0
(h)	Provident Funds / Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	0	0.00	0	0.00	0
(i)	Any other (specify)																		
_	Sub Total (B)(1)		1	900	0	0	900	0.01	900	0	900	0.01	C	0.01	0	0.00	0	0.00	0
2	Central Government/State Government(s)/ President of India																		
(a)	Central Govt./State Govt.		0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	0	0.00	0	0.00	0
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	0	0.00	0	0.00	0
3	Non-Institutions																		
(a)	Individual																		
(ai)	Ind-Hold nominal shr capital upto Rs.2L		3242	1089201	0	0	1089201	7.19	1089201	0	1089201	7.19	C	7.19	0	0.00	C	0.00	783000
	Ind-Hold nominal shr capital in																		
(aii)	excess of Rs.2L		24	2423043	0	0	2423043	15.99	2423043	0	2423043	15.99	0	15.99	0	0.00	0	0.00	2423043
	VANDANA JAIN	AEIPJ0088H		153708	0	0	153708	1.01	153708	0	153708	1.01	C	1.01	0	0.00	0	0.00	153708
	PRACHI DHANNALAL JAIN	AUQPJ6946A		265000	0	0	265000	1.75	265000	0	265000	1.75	C	1.75	0	0.00	0	0.00	265000
	GAURAV BOLIA	BZEPB4023J		248757	0	0	248757	1.64	248757	0	248757	1.64	C	1.64	0	0.00	0	0.00	248757
	SNEHA VIKASH AGRWAL	APYPA7569R		423366	0	0	423366	2.79	423366	0	423366	2.79	C	2.79	0	0.00	0	0.00	423366
(b)	NBFCs Registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	0	0.00	0	0.00	0
(c)	Employees Trusts		0	0	0	0	0	0.00	0	0	0	0.00	C	0.00	0	0.00	0	0.00	0
	Overseas Depositories (holding DRs)																		
(d)	(balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other(specify)																		
(/																			
(e-1)	Non Resident Indians (Individuals)		21	27021	0	0	27021	0.18	27021	0	27021	0.18		0.18	0	0.00	0	0.00	13521
(e-2)	Corporate Bodies		69		0	0		22.97	3480271	0	3480271		0	22.97	0		0		3430971
	BLUE DIAMOND PLASTOWARE		1		1								1		-				
	PRIVATE LIMITED	AAFCB9895C	1	193007	0	0	193007	1.27	193007	0	193007	1.27		1.27	n	0.00	n	0.00	193007
	ADVANI PRIVATE LIMITED	AACCA18950	1	412436	0	0		2.72	412436	0	412436	2.72	0	2.72	0	0.00	0	0.00	412436
	EXPLICIT FINANCE LIMITED	AAACS5604B	1	158211	0	0		1.04	158211	0	158211	1.04		1.04	0	0.00	0		158211
	PARAMONE CONCEPTS LIMITED	AAACP4764D	1	342333	0			2.26		0	342333	2.26		2.26			0		342333
-	MARUTI NANDAN COLONIZERS			542555		Ű	542555	2.20	542555		542333	2.20		2.20		0.00		0.00	242333
-	PRIVATE LIMITED	AACCM6456B		179765	0	0	179765	1.19	179765	0	179765	1.19	C	1.19	0	0.00	۵	0.00	179765
	AZURA PROJECTS PRIVATE LIMITED	AAGCA9030R	1	430000	0	0	430000	2.84	430000	0	430000	2.84		2.84	0	0.00	0	0.00	430000
-	ADVANI TRADING CO PVT LTD	AACCA5772E	1	321033	0	0		2.12	321033	0	321033	2.12	0	2.12	0	0.00	0		321033
-	SEVAN TRADING CO FVT LID	MACCAJ//2L	1	321033	1	0	321033	2.12	321033	0	321033	2.12		2.12	U	0.00	U	3.00	321033
	SYNCOM FORMULATION (INDIA) LTD	AAFCS6794R		274000	0	0	274000	1.81	274000	0	274000	1.81	c	1.81	0	0.00	0	0.00	274000
	INTELLECT STOCK BROKING LIMITED FLORENCE SECURITIES PRIVATE	AADCR1862K		171298	0	0	171298	1.13	171298	0	171298	1.13	C	1.13	0	0.00	0	0.00	171298
	LIMITED	AABCF5634R	1	188988	0	0	188988	1.25	188988	0	188988	1.25	0	1.25	0	0.00	0	0.00	188988
(e-3)	Clearing Member		4		0	0		0.00	223	0	223	0.00	0	0.00	0		0		223
(e-4)	Corporate Body - Broker		2	9790	0	0		0.06	9790	0	9790	0.06	0	0.06	0		0		9790
(e-5)	Hindu Undivided Family (Huf)		37		0	0		3.74	567128	0	567128	3.74	0	3.74	0		0		567128
11	Sub Total (B)(3)		3399	7596677	1 0	0	7596677	50.14	7596677	0	7596677	50.14		50.14	0	0.00	0		7227676
-	Total Public Shareholding				ľ		1330077	50.14	13300/7	- ·	, 330311	55.14		50.14		0.00		5.00	
	(B)=(B)(1)+(B)(2)+(B)(3)		3400	7597577	0	0	7597577	50.14	7597577	0	7597577	50.14	c	50.14	0	0.00	a	0.00	7227676

Table IV - Statement showing Shareholding Pattern of the Non Promoter - Non Public shareholder

					No. of Partly			Shareholdin	No of voting Rights held in each class of securities (IX)			No of shares	Total Shareholding as a % assuming full	Number o		pledged or	of shares otherwise	Number of			
					paid-			g %								Conversion of		es (XII) As a % of		ered (XIII) As a % of	equity
				No. of fully	up equity	No. of shares	Total Nos.	calculate as per SCRR	Class X	Class	Total	as a %	outstanding convertible	convertible securities(as a %	No.(a)	As a % of Total	No.(a)	As a % of Total	shares held in		
			Nos. of	paid up equity			shares held					Total	Securities	of diluted share		shares held			dematerializ		
	Category & Name of the		share	shares held	held	Depository	(VII =	of (A+B+C2)				voting	(including	capital) (XI)		(b)		(b)	ed Form		
	shareholder (I)	PAN (II)	holders (III)	(IV)	(V)	Receipts (VI)	IV+V+VI)	(VIII)				rights	warrants) (X)	=(VII)+(X) as a %					(XIV)		
1	Custodian/ DR Holder																				
(a)	GDRs/ADRs/ADSs		0	0	0	0	C	0.00	0	0	0	0.00	0	0.00	C	0.00	0	0.00	0		
	Sub Total (C)(1)		0	0	0	0	C	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Employee Benefit Trust (under SEBI																				
	(Share Based Employee Benefit)																				
2	Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	C	0.00	0	0.00	0		
	Sub Total (C)(2)		0	0	0	0	C	0.00	0	0	0	0.00	0	0.00	C	0.00	0	0.00	0		
	Total Non-Promoter-Non Public																				
	Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	C	0.00	0	0	0	0.00	0	0.00	C	0.00	0	0.00	0		
								1					1	1		1					